

From:

To: **BANKA SPARKASSE d.d.**  
**Cesta v Kleče 15**  
**1000 Ljubljana**

## **Authorization for data processing (release from requirements of bank secrecy)**

### **1. What is this about?**

Pursuant to the provision of the Banking Act, which defines the duty to protect confidential information, with this declaration, all current and future companies of the **Erste Group**<sup>1</sup> that offer financial services<sup>2</sup> will be empowered to exchange among themselves and further process data that concerns the company signing this declaration, which explicitly includes the export of this data outside the Republic of Slovenia, if the seat of the Erste Group company is outside the Republic of Slovenia. A current list of these Erste Group companies can be found at the following address: [www.erstegroup.com/konzernunternehmen](http://www.erstegroup.com/konzernunternehmen) and [www.sparkasse.si](http://www.sparkasse.si) (current list of Sparkasse Group companies in Slovenia).

### **2. Which data are affected?**

Included among the affected data is all information regarding:

- the company, its business activities, and its financial condition ("**Company Data**"), thus, in particular, name, address, telephone number, website, e-mail addresses, industry, organizational chart, description of its areas of activity, description of the company strategy, financial data (in particular annual financial statements, financial projections, business plans, liquidity planning, and security documents);
- the extent and content of its existing and planned business relationship with the companies of the Erste Group ("**Business Data**"); thus, in particular, internal Erste Group profitability data about the company, product portfolio, and product details such as duration and collateralizations; as well as
- the identity of the natural persons who work for and/or are connected with the company, i.e., in particular statutory representatives, representatives, the shareholders, the beneficial owners, trustors/trustees and beneficiaries, as well as data about the type and purpose of the business relationship, including information on the origin of the funds ("**Identifying Data**").

### **3. For what purpose will the data be processed (transmitted)?**

The companies of the Erste Group will

- exchange and further process the **Company, Business and Identifying Data** in order to:
  - be able to comply with their legal obligations to combat money laundering and the financing of terrorism, in particular in accordance with the requirements of the 5<sup>th</sup> Anti-Money Laundering Directive (EU) 2015/849;

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<sup>1</sup> "**Erste Group**" means Erste Group Bank AG, Am Belvedere 1, 1100 Vienna, Austria ("Erste Holding") and  
i) all entities in which Erste Holding has and will have an interest, irrespective of whether or not this is a direct or indirect, majority or minority, interest; and  
ii) all entities that are included in Erste Holding's consolidated financial statements (including all members of the "Haftungsverbund" – a joint early warning and cross-guarantee system established between all Austrian savings banks ("Sparkassen")). The term "Erste Group" also encompasses entities that are directly or indirectly controlled by other entities of Erste Group, including Banka Sparkasse's subsidiaries.

<sup>2</sup> "Financial services" means any bank service as well as any service performed in connection with the granting of a loan, insurance, individual pension schemes, purchase of receivables with or without recourse, financial leasing (leasing, renting), cash investments or payments.

- be able to comply with their legal obligations to record, evaluate, limit, steer and monitor business risk, in particular in accordance with the requirements of Directive 2013/36/EU - Capital Requirements Directive CRD IV;
- unification of banking operations, supervision, execution of approval activities in accordance with the internal policies of the Erste Group and fulfillment of mutual contractual obligations;
- facilitate the processing of new clients;
- exchange and further process **Company** and **Business Data** in order to create and submit business offers tailored to the client profile of the company (**marketing**).

**For this purpose and to the extent specified above, I/we, in the name of the company designated in the signature line below, expressly release the current and future companies of the Erste Group that offer financial services from any duties they may have to maintain bank secrecy toward each other in accordance with the provisions of the respectively valid national law.** This release declaration can be revoked at any time in writing. Such revocation will not affect the legitimacy of the data processing that occurred up to the point of revocation on the basis of the consent.

Date

Company Name

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Due signature(s) for Company