Swift Release 2012– ISO 15022
MT 540/1 – Receive Free/Against Payment
Austrian Market

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
Mandatory Sequence A General Information				
M	16R	Start of Block	GENL	'GENL'
M	20C	Sender's Reference	4!c//16x	'SEME//' Sender's Reference
M	23G	Function of the Message	4!c[/4!c]	'NEWM' New Message or 'CANC' Cancellation
O	99B	Number Count	4!c//3!n	'SETT' number of settlement instruction in a range of linked receipt/delivery settlement instructions
O	99B	Number Count	4!c//3!n	'TOSE' total number of settlement instructions which are linked together
Repetitive Optional Subsequence A1 Linkages (Cancellation only)				
M	16R	Start of Block	LINK	'LINK'
O	22F	Linkage Type Indicator	4!c/[8c]/4!c	'LINK//AFTE' instruction will be executed after the linked transaction
O	13A	Number Identification	4!c//3!c	'LINK//' type of transactions linked to this transaction
O/M	20C	Reference	4!c//16x	'POOL//' Clients Pool Reference supplied on receipt/delivery instructions linked with PREV//' - Reference of the Message to be Cancelled
M	16S	End of Block	LINK	'LINK'
End of Subsequence A1 Linkages				
M	16S	End of Block	GENL	'GENL'
End of Sequence A General Information				
Mandatory Sequence B Trade Details				
M	16R	Start of Block	TRADDET	'TRADDET'

Usage of Option Q in Party field 95:
accepted by Erste Group Bank AG, but cause a manual intervention.
For a full STP process we would require the usage of Option P or R.

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
M	98a	Date/Time	4!c//8!n	'SETT//' Settlement Date (YYYYMMDD)
M[1]	98a	Date/Time	4!c//8!n	'TRAD//' Trade Date (YYYYMMDD)
M	35B	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	'ISIN' Code Securities Description
M	16S	End of Block	TRADDET	'TRADDET'

End of Sequence B Trade Details

Repetitive Mandatory Sequence C Financial Instrument/Account

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
M	16R	Start of Block	FIAC	'FIAC'
M	36B	Quantity of Financial Instrument to be Settled	4!c//4!c/15d	'SETT//FAMT/' Nominal Amount 'SETT//UNIT/' Units, eg. of Shares or Warrants
M	97a	Safekeeping Account	4!c//35x	'SAFE//' Account Number with Erste Group Bank AG (i.e. xxx-xxx-xxx/xx)
O[2]	97a	Cash Account	4!c//35x	'CASH//' Account Number with Erste Group Bank AG (i.e. xxx-xxx-xxx/xx)
M	16S	End of Block	FIAC	'FIAC'

End of Sequence C Financial Instrument/Account

Mandatory Sequence E Settlement Details

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
M	16R	Start of Block	SETDET	'SETDET'
M	22F	Type of Settlement Transaction	4!c/[8c]/4!c	'SETR//TRAD' SETR//TURN for linked transactions

Repetitive Mandatory Subsequence E1 Settlement Parties

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
M	16R	Start of Block	SETPRTY	'SETPRTY'
M	95a	Place of Settlement	Option P: 4!c//4!a2!a2!c [3!c]	'PSET//'BIC • OEKOATWW

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[1] Mandatory – matching criteria in the Austrian market (Clearing System = DS.A).
[2] Erste Group Bank AG would prefer the quotation of the Cash a/c no. in field 97a.

Usage of Option Q in Party field 95:
accepted by Erste Group Bank AG, but cause a manual intervention.
For a full STP process we would require the usage of Option P or R.

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION

M	95a	Delivering Agent	Option P:
			4!c//4!a2!a2!c [3!c]
			
			Option R:
			4!c/8c/34x
				'DEAG//'
				1) BIC Code of Austrian Custodians (Option P)
				   a**) Local Settlement:**
				     P: DEAG//XXXXXXXX BIC Code Attached please find a list of all Austrian Participants
				   b) Inhouse Settlement:
				     P: DEAG//GIBAATWG
				   c**)** Clearing Houses:
				     P: DEAG//CEDELULL, DAKVDEFF, MGTCBEBE or INSECHZZ
				
				2) Data Source Scheme/Proprietary Code
				   a) Local Settlement:
				    R: DEAG/OEKO/ XXXXXX CSD A/C No. or Code of Austrian Clearing Participant

O/M	97a	Safekeeping Account	4!c//35x	'SAFE//' Account Number of DEAG • **Mandatory for Agents using one BIC for several safekeeping accounts with Austrian CSD (see specific remarks on the CSD Participant List)**
O	95a	Buyer	Option P: 4!c//4!a2!a2!c [3!c] Option R: 4!c/8c/34x Option Q: 4!c//4*35x	'BUYR//' 1) BIC Code (Option P) 2) Data Source Scheme /Proprietary Code 3) Name & Address (Option Q)
O	97a	Safekeeping Account	4!c//35x	'SAFE//' Account Number of BUYR

Usage of Option Q in Party field 95:
accepted by Erste Group Bank AG, but cause a manual intervention.
For a full STP process we would require the usage of Option P or R.

M/O	TAG	DESCRIPTION	FORMAT	EXPLANATION
O/M[3]	95a	Seller	Option P: 4!c//4!a2!a2!c [3!c] Option R: 4!c/8c/34x Option Q: 4!c//4*35x	'SELL//' • **Mandatory for Settlement with Clearing Houses Clearstream Banking Lux/Fft, Euroclear or SIX SIS** 1) BIC Code (Option P) 2) Data Source Scheme/Proprietary Code 3) Name & Address (Option Q)
O/M	97a	Safekeeping Account	4!c//35x	'SAFE//' Account No. of SELL • **Mandatory for Settlement with Clearing Houses** SAFE// A/C No. of Clearstream Banking Lux/Fft, Euroclear or SIX SIS
M	16S	End of Block	SETPRTY	'SETPRTY'
End of Subsequence E1 Settlement Parties				
Repetitive Mandatory Subsequence E3 Amounts				
M	16R	Start of Block	AMT	'AMT'
M	19A	Settlement Amount	4!c//[N]3!a15d {Qualifier} {Sign} {Currency} {Amount}	'SETT//' EUR Amount
M	16S	End of Block	AMT	'AMT'
End of Subsequence E3 Amounts				
M	16S	End of Block	SETDET	'SETDET'
End of Sequence E Settlement Details				

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[3] No matching criteria but highly recommended for settlement in the Austrian market (Clearing System = DS.A).
Usage of Option Q in Party field 95:
accepted by Erste Group Bank AG, but cause a manual intervention.
For a full STP process we would require the usage of Option P or R.

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