

ANNEX TO THE FINANCIAL INVESTMENT SERVICES AGREEMENT

APPLICATION FOR THE OPENING OF FINANCIAL INSTRUMENT ACCOUNTS AS OF

.....

This application is an integral part of the Financial Investment Services Agreement No. dated **.....**/..../.... (as amended, the "Agreement"), entered into between the parties:

(1) **Banca Comercială Română S.A.**, a company operating under a dual management system (managed by both a Supervisory Board and a Management Board), a credit institution (bank) with its registered office at 15D Șoseaua Orhideelor, The Bridge 1 Building, 2nd floor, Sector 6, Bucharest, postal code 060071, registered with the Trade Registry under no. J1991000090407, Unique Registration Code RO 361757, EUID (European Unique Identifier) ROONRC.J1991000090407, entered in the ASF Public Register (SIIF) under no. PJR01INCR/400007/ 05/26/2006 and at the Register of Institutions of Credit with no. RB-PJR-40-008/02/18/1999 ("BCR" or "the Bank")

and

(2) Name and first name, residing in: street:, No., Building, Staircase, Floor, Apartment, City/District, County, ... with personal identification number, identified by ID card series, no. ... / Passport series, issued by, On date on, professional professional, at address mailing address: Street:, No., Building, Staircase, Floor, Apartment, city/district, county ("Client").

1. Opening of a Financial Instruments Account

The Client requests, and the Bank, within a maximum of 5 Banking Days, opens and maintains in its records, for the Client, the following accounts:

A. Financial Instruments Accounts to keep track of the following:

- **Investment funds** (for tracking fund units),
- **SAFIR Government Securities** (for tracking government securities settled and registered in SAFIR),
- **Bonds** (for bond accounting)

B. Stock Market Trading Accounts.

2. Client's Statement on Investment Classification

The Client declares that:

A. has been informed, in accordance with the section "Measures to Protect Client Assets (Financial Investment Services)" in the Client Information Package, about:

1. the Investor Compensation Fund ("FCI");
2. the category of investors whose investments are compensated by the FCI, the circumstances under which such compensation applies, and the maximum amount that may be received;
3. the categories of investors who are not compensated by the FCI.

B. [BPM – Compensated Investor YES/NO] **DO NOT FALL INTO** / **I FALL INTO** any of the categories of **non-compensated investors**, which include:

- (a) professional and institutional investors, including:
 - (i) investment firms;
 - (ii) credit institutions;
 - (iii) financial institutions;
 - (iv) insurance and reinsurance companies;
 - (v) collective investment undertakings;
 - (vi) privately managed pension funds;
 - (vii) other professional and institutional investors;
- (b) international organizations, governments, and other central, regional, and local public administration authorities;
- (c) directors, administrators, and members with direct responsibility within the Fund's participants, as well as persons responsible for conducting the audit of the Fund's participants;
- (d) shareholders of the Fund's participants who hold at least 5% of the share capital;
- (e) investors with a status similar to those indicated in subparagraphs (c) and (d) within other companies in the same group as the Fund participants;
- (f) spouses, relatives, and in-laws (by marriage) up to and including the first degree, as well as persons acting on behalf of the investors referred to in subparagraphs (c) through (e);
- (g) legal entities within the same group as the Fund's participants;
- (h) persons who bear responsibility for or have derived benefits from certain situations related to a Fund participant and which have led to the participant's financial difficulties or were likely to lead to the deterioration of the participant's financial situation;
- (i) companies that, due to their size, are not permitted to prepare an abbreviated balance sheet in accordance with applicable accounting regulations.

If, during the term of the Agreement, the Client's classification under Section B above changes, the Client shall immediately notify BCR and resubmit the Annex.

All other provisions of the Agreement, including the Annexes *and the Application for Opening Financial Instrument Accounts*, remain unchanged (except for updated information regarding the Client's classification into a category of investors not covered by the Fund) and shall apply accordingly.

The Annex is executed on the date indicated in the title in two original copies, one original copy for each party, in the case of a handwritten signature, or on the date on which the last party applied the qualified electronic signature, in the case of a qualified electronic signature applied by all parties.

BANCA COMERCIALĂ ROMÂNĂ S.A.

CLIENT

(first name, last name, title, signature)

(first name, last name, title, signature)

(first name, last name, title, signature)

(first name, last name, title, signature)