

## Questionnaire for the Identification of Politically Exposed Persons

Pursuant to the Law on Prevention of Money Laundering and Financing of Terrorism (Official Gazette of Montenegro, No. 110/23, hereinafter referred to as LPMLTF), when concluding a business relationship or carrying out a transaction with a client, we are obliged to determine whether the client is politically exposed person.

**A politically exposed person (PEP)**, for the purpose of LPMLTF, is considered a natural person who acts or has acted in a prominent public position in the state in the last 24 months, including members of its immediate family and close associates, whereby:

- **Members of the immediate family** are considered to be a married or common-law spouse, a partner in the same-sex union, a blood relative in the direct line, up to any degree of kinship, in the collateral line up to the fourth degree, in-laws up to the second degree, an adoptive parent, an adoptee, foster care provider or recipient.
- **A close associate of PEP** is considered to be:
  1. A natural person who has joint real ownership or property rights and other property rights over a legal entity or legal arrangement established a business relationship or other type of close business ties with a politically exposed person;
  2. A natural person who is the sole beneficial owner of a legal entity or legal arrangement known to have been created for the benefit of a politically exposed person.

**A politically exposed person** is also a foreign citizen appointed by a foreign state or an international organization, i.e., appointed to a public office.

**Pursuant to the LPMLTF, please answer the following questions:**

### 1. Are you a person who holds a prominent public position:

|     |   |                              |                             |
|-----|---|------------------------------|-----------------------------|
| 1.  | The President of Montenegro, the President of the Parliament of Montenegro, the Prime Minister, or a member of the Government;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2.  | Member of the Parliament;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 3.  | President of the political party and its deputy, member of the presidency of the political party and its deputy, member of the executive committee, member of the main committee and other official in the political party; | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 4.  | State Secretary and General Director in the Ministry, Secretary of the Ministry, Director and Deputy Director of the Police, Head of the Financial Intelligence Unit;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 5.  | President and judge of the Supreme Court of Montenegro and President and judge of the Constitutional Court of Montenegro;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 6.  | Supreme State Prosecutor, Special State Prosecutor and prosecutor in the Supreme State Prosecutor's Office and Special State Prosecutor's Office;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 7.  | Member of the Senate of the State Audit Institution and the Council of the Central Bank of Montenegro;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 8.  | Director and deputy director of administrative bodies;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 9.  | Mayor, president of the municipality, president of the Capital City Assembly, president of the Capital City Assembly, and president of the municipal assembly;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 10. | Director of the Agency for National Security and Director of the Agency for the Prevention of Corruption;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 11. | Ambassador, consul, chief of the General Staff of the Army of Montenegro, general and admiral of the Army of Montenegro;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 12. | Director, deputy, i.e., assistant director and member of the management body and supervisory body of a legal entity that is majority owned by the state.  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

### 2. Are you a foreign citizen appointed to a public office by a foreign state or international organization:

|    |   |                              |                             |
|----|---|------------------------------|-----------------------------|
| 1. | President of the State, Prime Minister, Minister and its deputy;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. | Member of Parliament;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 3. | Member of the administrative body of political parties;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 4. | A member of the Supreme Court, the Constitutional Court, or other high-level judicial bodies against whose verdict, except in exceptional cases, it is not possible to use a regular or extraordinary legal remedy; | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 5. | Member of the audit court, that is, the supreme audit institution and the council of the central bank;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 6. | Ambassador, consul and senior officer of the armed forces;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

|    |  |                              |                             |
|----|--|------------------------------|-----------------------------|
| 7. | Member of the management body and supervisory body of a legal entity that is majority-owned by the state;                | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 8. | Director, deputy, or assistant director and board member or other appropriate position in an international organization. | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

### 3. Are you::

|   |   |                              |                             |
|---|---|------------------------------|-----------------------------|
| <b>A member of the immediate family of a person from table 1 or 2, namely:</b>    |   |                              |                             |
| 1.  | - Marital or common-law spouse;   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - Partner in the same-sex community;  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - Blood relative in the direct line up to any degree of kinship (parents, grandmother, grandfather, grandchildren...);  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - Relative in the collateral line up to the fourth degree of kinship (birth brother and sister and their children and adopted children, birth uncle, aunt, uncle, and their children and adopted children);   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - Relative by in-laws up to the second degree (father-in-law, mother-in-law, father-in-law, mother-in-law, son-in-law, daughter-in-law);  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - Adopter, adoptee, foster care provider or recipient   | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| <b>A close associate of a person from table 1 or 2 shall be considered to be:</b> |   |                              |                             |
| 2.  | - A natural person who has joint real ownership or property rights and other property rights over legal entities or legal arrangements, established business relationships or other types of close business connection with a politically exposed person; | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
|   | - A natural person who is the sole beneficial owner of a legal entity or legal arrangement known to have been created for the benefit of a politically exposed person.  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

### 4. Are you:

|    |  |                              |                             |
|----|--|------------------------------|-----------------------------|
| 1. | In the last 24 months, were you in any of the positions listed in table 1 or 2?  | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| 2. | Are you, for the purpose of Table 3, a member of the immediate family or a close associate of a person who held any prominent public position from Table 1 or 2 in the last 24 months? | YES <input type="checkbox"/> | NO <input type="checkbox"/> |

If you answered YES to any of the above questions, you are legally a politically exposed person. Therefore, we kindly ask you to state the origin of funds or assets that are or will be the subject of a business relationship or transaction:

**I, the undersigned, hereby confirm that the above information is correct and true.**

|                                |                        |
|--------------------------------|------------------------|
| Name and surname of the client |                        |
| Client's address               | Client's date of birth |
| Place and date                 | Client's signature     |

|  |  |
|--|--|
| Name and surname of the official / intermediary / representative |  |
| Place and date   | Signature of an official / intermediary / representative |

**I hereby agree to establish a business relationship with politically exposed person data is accurate and true.**

|   |           |
|---|-----------|
| Name and surname of the senior manager of the obligor |           |
| Place and date  | Signature |