

# Politically Exposed Person statement

Name and surname of the natural person to whom the data refers \_\_\_\_\_

Personal identification number or date of birth of the natural person to whom the data refers \_\_\_\_\_

**To be filled in only by a natural person, the beneficial owner of the business entity**

Personal identification number of the Business Entity \_\_\_\_\_

Name of the Business Entity \_\_\_\_\_

When establishing a business relationship or conducting transactions, the Bank shall apply a procedure that determines whether the customer is a politically exposed person in accordance with the obligation to conduct customer due diligence prescribed by the Law on Prevention of Money Laundering and Financing of Terrorism.

Politically Exposed Person is any natural person who is or was in the past, at least 12 months, carrying out an eminent public duty in an EU Member State or third country, including members of her immediate family \* and persons known to be close associates \*\* politically exposed persons.

\*Close family members are 1. the spouse or a person considered to be equivalent to a spouse or a person with whom politically exposed person is in life partnership or informal life partnership; 2. the children and their spouses, or persons considered to be equivalent to a spouse or persons with whom children of a politically exposed person are in life partnership or informal life partnership; 3. the parents of a politically exposed person.

\*\*Close associate is every natural person which is known to have joint beneficial ownership of legal entity or legal arrangement, or any other close business relations with politically exposed persons, or which is the only beneficial owner of the legal person or legal arrangement which is known to be established for the benefit of politically exposed persons

In order to conduct customer due diligence and to comply with the object and purpose of the Anti-Money Laundering and Terrorist Financing Law, please truthfully answer the following questions:

**Do you work (or have worked in the previous 12 months) in your country of permanent residence / usual residence an eminent public duty, or have a close family member or close associate of a person holding a prominent public function?**

**Please select one of the possible answers below.**

- |   |  |
|---|--|
| <input type="checkbox"/> 1. I do not work/ I am not | <input type="checkbox"/> 3. I am a family member of the person on prominent public function    |
| <input type="checkbox"/> 2. I act on public service | <input type="checkbox"/> 4. I am an close associate of the person on prominent public function |

**If you chose one of the answers under order number 2 - 4, please indicate which public duty it is:**

- ☐ head of state or a head of government, minister or their deputy, assistant minister or secretary-general
- ☐ member of legislative bodies
- ☐ member of the governing bodies of political parties
- ☐ member of the supreme of constitutional courts or other judicial officials whose decisions are not subject to further appeal, except in exceptional cases
- ☐ member of courts of auditors
- ☐ member of the boards of central banks
- ☐ ambassador, consul and high-ranking officer in the armed forces
- ☐ member of the management or supervisory board of companies that are fully or majority owned by the State or Units of local and regional government and person performing equivalent function
- ☐ director, deputy director and member of the boards and person performing equivalent function in international organizations
- ☐ head of municipalities, mayor, county prefect and their deputies elected pursuant to the law regulating local elections in the Republic of Croatia

**If you selected answer 3. I am family member of the person holding a prominent public function or 4. I am a close associate of the person holding a prominent public function, please specify:**

a) name and surname of a person holding a prominent public function \_\_\_\_\_

b) in what capacity as a member of the immediate family are you / in what way was your close association with that person achieved \_\_\_\_\_

**In case you are a politically exposed person, or politically exposed person as beneficial owner of legal entity please indicate the source of the total assets (it is possible to choose more than one answer)<sup>1</sup>:**

- ☐ regular salary and bonuses
- ☐ savings
- ☐ dividend
- ☐ business income
- ☐ income from investments (stocks, bonds, shares in funds)
- ☐ gift/inheritance
- ☐ sale of ownership shares
- ☐ sale of real estate
- ☐ sale of movable property
- ☐ rental property owned
- ☐ profit from the sale of the company
- ☐ family business
- ☐ other \_\_\_\_\_

**In case you are a politically exposed person, or politically exposed person as beneficial owner of legal entity, please indicate the source of the funds that are or will be the subject of a business relationship (it is possible to choose more than one answer)<sup>1</sup>:**

- ☐ regular salary and bonuses
- ☐ savings
- ☐ dividend
- ☐ business income
- ☐ income from investments (stocks, bonds, shares in funds)
- ☐ gift/inheritance
- ☐ sale of ownership shares
- ☐ sale of real estate
- ☐ sale of movable property
- ☐ rental property owned
- ☐ profit from the sale of the company
- ☐ family business
- ☐ other \_\_\_\_\_

Place and the date \_\_\_\_\_

Name and the surname of the person signing this Statement<sup>2</sup> \_\_\_\_\_

Signature

With my handwritten signature, I confirm the accuracy and truthfulness of the data.

<sup>1</sup> In order to comply with the provisions of the Anti-Money Laundering and Terrorist Financing Law, Erste bank may ask you for documentation that confirms your Statement.

<sup>2</sup> For the beneficial owner of the business entity, the Statement may be signed by the authorized representative, acting on their behalf, in accordance with the received authorization.