

Erste Group Bank AG - Shareholder Structure Development

Last update 21. December 2021

17.12.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.25%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.58%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.57%
Institutional Investors	48.21%	Rest of world	1.42%
Wellington	4.73%	Identified Trading**	1.09%
BlackRock	4.87%	Unidentified*	13,02%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	13,02%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

10.12.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.49%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.59%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.57%
Institutional Investors	48.21%	Rest of world	1.42%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	4.87%	Unidentified*	12.77%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.77%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

09.12.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.56%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.58%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	4.98%	Unidentified*	12.66%
Retail Investors Austria	5.00%		
Employees	0.83%		
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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

06.12.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.54%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.60%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.58%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	4.98%	Identified Trading**	1.09%
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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

03.12.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.56%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
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ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.54%
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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

26.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.54%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.60%
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25.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.59%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.62%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.59%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	5.03%	Unidentified*	12.61%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.61%		

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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

24.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.56%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.58%
Institutional Investors	48.21%	Rest of world	1.43%
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BlackRock	4,98%	Unidentified*	12,66%
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Employees	0.83%		
Identified Trading**	1.09%		
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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

23.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.59%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.62%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.59%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	5.03%	Unidentified*	12.61%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.61%		

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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.56%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.58%
Institutional Investors	48.21%	Rest of world	1.44%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	4.99%	Unidentified*	12.65%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.65%		

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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

17.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.61%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.62%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.60%
Institutional Investors	48.21%	Rest of world	1.44%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	5.07%	Unidentified*	12.57%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.57%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

16.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.56%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.59%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	4.98%	Identified Trading**	1.09%
BlackRock	4.99%	Unidentified*	12.65%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.65%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

11.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.78%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.64%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.61%
Institutional Investors	48.21%	Rest of world	1.44%
Wellington	5.11%	Identified Trading**	1.09%
BlackRock	5.14%	Unidentified*	12.37%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.37%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

10.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.69%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.61%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.59%
Institutional Investors	48.21%	Rest of world	1.43%
Wellington	5.11%	Identified Trading**	1.09%
BlackRock	4.99%	Unidentified*	12.52%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.52%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

09.11.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	30.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	23.74%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.63%
Wiener Städtische Versicherungsverein	4.08%	Continental Europe	13.60%
Institutional Investors	48.20%	Rest of world	1.44%
Wellington	5.11%	Identified Trading**	1.09%
BlackRock	5.09%	Unidentified*	12.43%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	12.43%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

27.10.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	29.07%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	19.51%
Savings Banks Foundations ¹	1.67%	UK & Ireland	17.45%
CaixaBank	9.92%	Continental Europe	23.38%
Other Syndicated ²	3.08%	Rest of world	1.31%
Institutional Investors	49.56%	Identified Trading**	1.09%
BlackRock Inc.	4.16%	Unidentified*	8.19%
Retail Investors Austria	5.00%		
Employees	0.83%		
Identified Trading**	1.09%		
Unidentified*	8.19%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

21.10.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.61%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.02%
CaixaBank	9.92%	Continental Europe	22.56%
Other Syndicated ²	3.08%	Rest of world	2.47%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.16%	Unidentified*	10.33%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.33%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

15.10.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.41%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.20%	Identified Trading**	1.16%
BlackRock Inc.	0.00%	Unidentified*	10.66%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.66%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

30.09.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.53%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.36%	Identified Trading**	1.16%
BlackRock Inc.	4.03%	Unidentified*	10.47%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.47%		

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*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.09.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.53%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.46%
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BlackRock Inc.	4.03%	Unidentified*	10.46%
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21.09.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.50%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
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Unidentified*	10.51%		

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20.09.2021		Total number of shares: 429,800,000	
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03.09.2021		Total number of shares: 429,800,000	
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Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.05%	Unidentified*	10.44%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.44%		

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26.08.2021		Total number of shares: 429,800,000	
By investor		By region	
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CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	51.36%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.50%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.50%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

31.07.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.52%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.01%	Unidentified*	10.48%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.48%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

01.07.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.52%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.01%	Unidentified*	10.48%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.48%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

29.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.42%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.21%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.65%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.65%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.53%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.02%	Unidentified*	10.47%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.47%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.51%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	51.36%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.50%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.50%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

08.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.57%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.01%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.08%	Unidentified*	10.41%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.41%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

07.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.41%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.50%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.19%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.67%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.67%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

04.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.52%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.36%	Identified Trading**	1.16%
BlackRock Inc.	4.01%	Unidentified*	10.49%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.49%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

02.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.50%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.34%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.52%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.52%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

31.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.54%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.04%	Unidentified*	10.45%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.45%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

21.05.2021 - Announcement 26.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.42%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.21%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.65%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.65%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

21.05.2021 - Announcement 25.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.48%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.28%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	47.28%	Identified Trading**	1.16%
T. Rowe Price	4.31%	Unidentified*	10.27%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.27%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

28.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.60%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.47%
Institutional Investors	43.44%	Identified Trading**	1.16%
T. Rowe Price	4.31%	Unidentified*	10.07%
BlackRock Inc.	4.04%		
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.07%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.04%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.50%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	43.84%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.33%
BlackRock Inc.	4.04%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.33%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

19.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	47.83%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.38%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.38%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

16.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.01%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	43.84%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.37%
BlackRock Inc.	4.00%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.37%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

14.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	48.01%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.39%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.39%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.96%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.44%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.44%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

04.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.10%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.52%
CaixaBank	9.92%	Continental Europe	22.67%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	44.02%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.23%
BlackRock Inc.	4.15%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.23%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

03.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.99%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	48.00%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.40%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.40%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

26.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.95%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.45%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.45%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.98%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.98%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.42%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.42%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

17.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.94%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.47%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.91%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.49%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.49%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	48.00%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.40%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.40%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.95%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.45%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.45%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

10.02.2021		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.96%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.44%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.44%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.01.2021		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.15%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Other Syndicated ²	3.08%	Rest of world	1.27%
Institutional Investors	44.02%	Identified Trading**	2.52%
BlackRock Inc.	4.24%	Unidentified*	9.14%
T. Rowe Price	4.12%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.14%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists