

Erste Group Bank AG - Shareholder Structure Development

Last update 05. July 2021

01.07.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.52%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.01%	Unidentified*	10.48%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.48%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

29.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.42%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.21%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.65%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.65%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.53%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.02%	Unidentified*	10.47%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.47%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.51%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	51.36%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.50%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.50%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

08.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.57%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.01%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.08%	Unidentified*	10.41%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.41%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

07.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.41%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.50%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.19%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.67%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.67%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

04.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.52%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.36%	Identified Trading**	1.16%
BlackRock Inc.	4.01%	Unidentified*	10.49%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.49%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

02.06.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.50%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.99%
CaixaBank	9.92%	Continental Europe	22.53%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.34%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.52%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.52%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

31.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.54%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.00%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.46%
Institutional Investors	47.37%	Identified Trading**	1.16%
BlackRock Inc.	4.04%	Unidentified*	10.45%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.45%		

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² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

21.05.2021 - Announcement 26.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.42%
Savings Banks Foundations ¹	1.67%	UK & Ireland	15.96%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	51.21%	Identified Trading**	1.16%
Retail Investors Austria	5.00%	Unidentified*	10.65%
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.65%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

21.05.2021 - Announcement 25.05.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.48%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.28%
CaixaBank	9.92%	Continental Europe	22.51%
Other Syndicated ²	3.08%	Rest of world	2.45%
Institutional Investors	47.28%	Identified Trading**	1.16%
T. Rowe Price	4.31%	Unidentified*	10.27%
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.27%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

28.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	28.85%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.60%
Savings Banks Foundations ¹	1.67%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	22.54%
Other Syndicated ²	3.08%	Rest of world	2.47%
Institutional Investors	43.44%	Identified Trading**	1.16%
T. Rowe Price	4.31%	Unidentified*	10.07%
BlackRock Inc.	4.04%		
Retail Investors Austria	5.00%		
Employees	0.81%		
Identified Trading**	1.16%		
Unidentified*	10.07%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

22.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.04%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.50%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	43.84%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.33%
BlackRock Inc.	4.04%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.33%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

19.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	47.83%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.38%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.38%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

16.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.01%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	43.84%	Identified Trading**	2.52%
T. Rowe Price	4.31%	Unidentified*	9.37%
BlackRock Inc.	4.00%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.37%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

14.04.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.65%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	48.01%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.39%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.39%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.96%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.44%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.44%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

04.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.10%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.52%
CaixaBank	9.92%	Continental Europe	22.67%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	44.02%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.23%
BlackRock Inc.	4.15%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.23%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

03.03.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.99%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.26%
Institutional Investors	48.00%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.40%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.40%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

26.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.95%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.45%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.45%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

25.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.98%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.98%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.42%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.42%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions

17.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.94%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.47%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.91%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.49%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.49%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.00%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.49%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	48.00%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.40%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.40%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.02.2021		Total number of shares: 429,800,000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.63%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.95%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.45%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.45%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

10.02.2021		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	17.97%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.48%
CaixaBank	9.92%	Continental Europe	22.64%
Other Syndicated ²	3.08%	Rest of world	1.25%
Institutional Investors	47.96%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.44%
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.44%		

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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.01.2021		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.15%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Other Syndicated ²	3.08%	Rest of world	1.27%
Institutional Investors	44.02%	Identified Trading**	2.52%
BlackRock Inc.	4.24%	Unidentified*	9.14%
T. Rowe Price	4.12%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.14%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

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**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

Erste Group Bank AG - Shareholder Structure Development

Last update: 31. December 2020

Change of presentation accord. to the Annual Report, Note 42: Principal Shareholders

31.12.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	5.90%	Austria	27.70%
Sparkassenbeteiligungs GmbH & Co KG	10.60%	North America	18.15%
Savings Banks Foundations ¹	1.67%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Other Syndicated ²	3.08%	Rest of world	1.27%
Institutional Investors	44.02%	Identified Trading**	2.52%
BlackRock Inc.	4.24%	Unidentified*	9.14%
T. Rowe Price	4.12%		
Retail Investors Austria	4.00%		
Employees	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.14%		

¹ Syndicated Savings Banks Foundations, own holdings of Savings Banks, Erste Employees Private Foundation

² Other parties to the shareholder agreement of Erste Foundation, Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.11.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.70%
Savings Banks & Savings Banks Foundatic	6.91%	North America	18.15%
Other Syndicated ³	3.08%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Institutional Investors	43.87%	Rest of world	1.27%
BlackRock Inc.	4.24%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.14%
Retail Investors Austria	4.00%		
Employees ⁴	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.14%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.10.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.68%
Savings Banks & Savings Banks Foundatic	6.89%	North America	18.15%
Other Syndicated ³	3.08%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Institutional Investors	43.87%	Rest of world	1.27%
BlackRock Inc.	4.24%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.16%
Retail Investors Austria	4.00%		
Employees ⁴	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.16%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

28.10.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.67%
Savings Banks & Savings Banks Foundatic	6.89%	North America	18.15%
Other Syndicated ³	3.08%	UK & Ireland	18.54%
CaixaBank	9.92%	Continental Europe	22.68%
Institutional Investors	43.86%	Rest of world	1.27%
BlackRock Inc.	4.24%	Identified Trading**	2.52%
T. Rowe Price	4.12%	Unidentified*	9.17%
Retail Investors Austria	4.00%		
Employees ⁴	0.79%		
Identified Trading**	2.52%		
Unidentified*	9.17%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

20.10.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.82%
Savings Banks & Savings Banks Foundatic	6.89%	North America	16.67%
Other Syndicated ³	3.08%	UK & Ireland	16.47%
CaixaBank	9.92%	Continental Europe	24.59%
Institutional Investors	48.45%	Rest of world	3.18%
BlackRock Inc.	4.24%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	9.25%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	9.25%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.10.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.82%
Savings Banks & Savings Banks Foundatic	6.89%	North America	16.47%
Other Syndicated ³	3.08%	UK & Ireland	16.36%
CaixaBank	9.92%	Continental Europe	24.55%
Institutional Investors	52.33%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.61%
Identified Trading**	2.02%		
Unidentified*	9.61%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.09.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.83%
Savings Banks & Savings Banks Foundatic	6.89%	North America	16.56%
Other Syndicated ³	3.08%	UK & Ireland	16.41%
CaixaBank	9.92%	Continental Europe	24.57%
Institutional Investors	48.45%	Rest of world	3.17%
BlackRock Inc.	4.05%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	9.44%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	9.44%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.09.2020 - Announcement 15.09.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.79%
Savings Banks & Savings Banks Foundatic	6.85%	North America	16.56%
Other Syndicated ³	3.08%	UK & Ireland	16.41%
CaixaBank	9.92%	Continental Europe	24.57%
Institutional Investors	48.45%	Rest of world	3.17%
BlackRock Inc.	4.05%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	9.48%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	9.48%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.09.2020 - Announcement 14.09.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.79%
Savings Banks & Savings Banks Foundatic	6.85%	North America	16.52%
Other Syndicated ³	3.08%	UK & Ireland	16.39%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	52.43%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.55%
Identified Trading**	2.02%		
Unidentified*	9.55%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

04.09.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.79%
Savings Banks & Savings Banks Foundatic	6.85%	North America	16.54%
Other Syndicated ³	3.08%	UK & Ireland	16.40%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	48.45%	Rest of world	3.17%
BlackRock Inc.	4.01%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	9.52%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	9.52%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

03.09.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.78%
Savings Banks & Savings Banks Foundatic	6.84%	North America	16.53%
Other Syndicated ³	3.08%	UK & Ireland	16.39%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	52.44%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.55%
Identified Trading**	2.02%		
Unidentified*	9.55%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.07.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.78%
Savings Banks & Savings Banks Foundatic	6.84%	North America	16.38%
Other Syndicated ³	3.08%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	24.53%
Institutional Investors	52.18%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.81%
Identified Trading**	2.02%		
Unidentified*	9.81%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.06.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.77%
Savings Banks & Savings Banks Foundatic	6.83%	North America	16.38%
Other Syndicated ³	3.08%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	24.53%
Institutional Investors	52.18%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.82%
Identified Trading**	2.02%		
Unidentified*	9.82%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.05.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.62%
Savings Banks & Savings Banks Foundatic	6.68%	North America	16.38%
Other Syndicated ³	3.08%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	24.53%
Institutional Investors	52.18%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.97%
Identified Trading**	2.02%		
Unidentified*	9.97%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.05.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.53%
Savings Banks & Savings Banks Foundatic	6.59%	North America	16.38%
Other Syndicated ³	3.08%	UK & Ireland	16.31%
CaixaBank	9.92%	Continental Europe	24.53%
Institutional Investors	52.18%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	10.06%
Identified Trading**	2.02%		
Unidentified*	10.06%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

14.05.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.53%
Savings Banks & Savings Banks Foundatic	6.59%	North America	16.47%
Other Syndicated ³	3.08%	UK & Ireland	16.36%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	52.35%	Rest of world	3.17%
Retail Investors Austria	4.00%	Identified Trading**	2.02%
Employees ⁴	0.74%	Unidentified*	9.89%
Identified Trading**	2.02%		
Unidentified*	9.89%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.04.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.53%
Savings Banks & Savings Banks Foundatic	6.59%	North America	16.54%
Other Syndicated ³	3.08%	UK & Ireland	16.40%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	48.45%	Rest of world	3.17%
BlackRock Inc.	4.01%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	9.78%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	9.78%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.03.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.28%
Savings Banks & Savings Banks Foundatic	6.34%	North America	16.54%
Other Syndicated ³	3.08%	UK & Ireland	16.40%
CaixaBank	9.92%	Continental Europe	24.56%
Institutional Investors	48.45%	Rest of world	3.17%
BlackRock Inc.	4.01%	Identified Trading**	2.02%
Retail Investors Austria	4.00%	Unidentified*	10.03%
Employees ⁴	0.74%		
Identified Trading**	2.02%		
Unidentified*	10.03%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

02.01.2020		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.08%
Savings Banks & Savings Banks Foundatic	5.98%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.01%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.76%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.76%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

Erste Group Bank AG - Shareholder Structure Development 2019

31.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.08%
Savings Banks & Savings Banks Founda	5.98%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	16.25%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.77%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.78%
Identified Trading**	2.27%		
Unidentified*	10.78%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

27.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	16.25%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.77%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.79%
Identified Trading**	2.27%		
Unidentified*	10.79%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

16.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.35%
Other Syndicated ³	3.08%	UK & Ireland	16.29%
CaixaBank	9.92%	Continental Europe	24.20%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.09%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.69%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.69%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

13.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.78%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.78%
Identified Trading**	2.27%		
Unidentified*	10.78%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

12.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.33%
Other Syndicated ³	3.08%	UK & Ireland	16.28%
CaixaBank	9.92%	Continental Europe	24.20%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.06%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.72%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.72%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.28%
Other Syndicated ³	3.08%	UK & Ireland	16.25%
CaixaBank	9.92%	Continental Europe	24.18%
Institutional Investors	51.74%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.82%
Identified Trading**	2.27%		
Unidentified*	10.82%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

09.12.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.32%
Other Syndicated ³	3.08%	UK & Ireland	16.27%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.03%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.75%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.75%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

29.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.29%
Other Syndicated ³	3.08%	UK & Ireland	16.25%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.76%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.80%
Identified Trading**	2.27%		
Unidentified*	10.80%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

19.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.01%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.77%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.77%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.29%
Other Syndicated ³	3.08%	UK & Ireland	16.25%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.76%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.80%
Identified Trading**	2.27%		
Unidentified*	10.80%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

08.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.07%
Savings Banks & Savings Banks Founda	5.97%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.01%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.77%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.77%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

07.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.05%
Savings Banks & Savings Banks Founda	5.95%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	51.78%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.80%
Identified Trading**	2.27%		
Unidentified*	10.80%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

06.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.05%
Savings Banks & Savings Banks Founda	5.95%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.26%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.79%	Rest of world	3.13%
BlackRock Inc.	4.00%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.79%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.79%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

04.11.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.05%
Savings Banks & Savings Banks Founda	5.95%	North America	16.26%
Other Syndicated ³	3.08%	UK & Ireland	16.24%
CaixaBank	9.92%	Continental Europe	24.18%
Institutional Investors	51.71%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.87%
Identified Trading**	2.27%		
Unidentified*	10.87%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.05%
Savings Banks & Savings Banks Founda	5.95%	North America	16.32%
Other Syndicated ³	3.08%	UK & Ireland	16.27%
CaixaBank	9.92%	Continental Europe	24.19%
Institutional Investors	47.78%	Rest of world	3.13%
BlackRock Inc.	4.03%	Identified Trading**	2.27%
Retail Investors Austria	4.00%	Unidentified*	10.77%
Employees ⁴	0.79%		
Identified Trading**	2.27%		
Unidentified*	10.77%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

28.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	27.05%
Savings Banks & Savings Banks Founda	5.95%	North America	16.21%
Other Syndicated ³	3.08%	UK & Ireland	16.20%
CaixaBank	9.92%	Continental Europe	24.17%
Institutional Investors	51.61%	Rest of world	3.13%
Retail Investors Austria	4.00%	Identified Trading**	2.27%
Employees ⁴	0.79%	Unidentified*	10.97%
Identified Trading**	2.27%		
Unidentified*	10.97%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

18.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.31%
Savings Banks & Savings Banks Founda	5.95%	North America	16.20%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.19%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.13%
Identified Trading**	2.52%		
Unidentified*	16.13%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

17.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.32%
Savings Banks & Savings Banks Founda	5.95%	North America	16.16%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.16%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.16%
Identified Trading**	2.52%		
Unidentified*	16.16%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

10.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.32%
Savings Banks & Savings Banks Founda	5.95%	North America	16.28%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.28%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.04%
Identified Trading**	2.52%		
Unidentified*	16.04%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

03.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.24%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.23%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.11%
Identified Trading**	2.52%		
Unidentified*	16.11%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

01.10.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.35%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.34%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.00%
Identified Trading**	2.52%		
Unidentified*	16.00%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.09.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.21%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.20%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.14%
Identified Trading**	2.52%		
Unidentified*	16.14%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

27.08.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.27%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.26%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.08%
Identified Trading**	2.52%		
Unidentified*	16.08%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.28%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.27%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.07%
Identified Trading**	2.52%		
Unidentified*	16.07%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

22.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.34%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.33%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.01%
Identified Trading**	2.52%		
Unidentified*	16.01%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

19.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.26%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.25%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.09%
Identified Trading**	2.52%		
Unidentified*	16.09%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.32%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.31%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.03%
Identified Trading**	2.52%		
Unidentified*	16.03%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.41%	Austria	26.29%
Savings Banks & Savings Banks Founda	5.93%	North America	16.24%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.23%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.11%
Identified Trading**	2.52%		
Unidentified*	16.11%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

03.07.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.29%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.08%
Identified Trading**	2.52%		
Unidentified*	16.08%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

27.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.29%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.28%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.09%
Identified Trading**	2.52%		
Unidentified*	16.09%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

20.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.29%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.08%
Identified Trading**	2.52%		
Unidentified*	16.08%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

19.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.28%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.27%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.10%
Identified Trading**	2.52%		
Unidentified*	16.10%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

18.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.30%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.07%
Identified Trading**	2.52%		
Unidentified*	16.07%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

17.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.30%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.29%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.08%
Identified Trading**	2.52%		
Unidentified*	16.08%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

14.06.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.26%
Savings Banks & Savings Banks Founda	5.93%	North America	16.32%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.31%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.06%
Identified Trading**	2.52%		
Unidentified*	16.06%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

28.05.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.24%
Savings Banks & Savings Banks Founda	5.91%	North America	16.20%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.19%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.20%
Identified Trading**	2.52%		
Unidentified*	16.20%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

23.05.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.24%
Savings Banks & Savings Banks Founda	5.91%	North America	16.36%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	46.35%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.04%
Identified Trading**	2.52%		
Unidentified*	16.04%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

20.05.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.24%
Savings Banks & Savings Banks Founda	5.91%	North America	16.16%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.02%	Continental Europe	21.27%
Institutional Investors	46.15%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
Employees ⁴	0.80%	Unidentified*	16.24%
Identified Trading**	2.52%		
Unidentified*	16.24%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

06.05.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.38%	Austria	26.24%
Savings Banks & Savings Banks Founda	5.91%	North America	16.52%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	42.36%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
BlackRock Inc.	4.15%	Unidentified*	15.88%
Employees ⁴	0.80%		
Identified Trading**	2.52%		
Unidentified*	15.88%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.04.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.34%	Austria	26.20%
Savings Banks & Savings Banks Founda	5.91%	North America	16.52%
Other Syndicated ³	3.08%	UK & Ireland	15.32%
CaixaBank	9.92%	Continental Europe	21.27%
Institutional Investors	42.36%	Rest of world	2.25%
Retail Investors Austria	4.00%	Identified Trading**	2.52%
BlackRock Inc.	4.15%	Unidentified*	15.92%
Employees ⁴	0.80%		
Identified Trading**	2.52%		
Unidentified*	15.92%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

23.04.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.34%	Austria	27.77%
Savings Banks & Savings Banks Founda	5.89%	North America	16.24%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.01%	Unidentified*	8.23%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.23%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

16.04.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.34%	Austria	27.77%
Savings Banks & Savings Banks Founda	5.89%	North America	16.19%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.42%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.28%
Identified Trading**	2.29%		
Unidentified*	8.28%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

15.04.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.34%	Austria	27.77%
Savings Banks & Savings Banks Founda	5.89%	North America	16.23%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.24%
Identified Trading**	2.29%		
Unidentified*	8.24%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

09.04.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.34%	Austria	27.76%
Savings Banks & Savings Banks Founda	5.89%	North America	16.27%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.03%	Unidentified*	8.21%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.21%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

25.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	15.85%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.08%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.86%
Identified Trading**	2.29%		
Unidentified*	8.86%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

22.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	15.95%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.18%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.76%
Identified Trading**	2.29%		
Unidentified*	8.76%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which

14.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.13%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.36%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.58%
Identified Trading**	2.29%		
Unidentified*	8.58%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

13.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.20%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.43%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.51%
Identified Trading**	2.29%		
Unidentified*	8.51%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

11.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.08%	Unidentified*	8.40%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.40%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

07.03.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.22%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.45%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.49%
Identified Trading**	2.29%		
Unidentified*	8.49%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

28.02.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.31%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.08%	Unidentified*	8.40%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.40%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

26.02.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.22%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.45%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.49%
Identified Trading**	2.29%		
Unidentified*	8.49%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

08.02.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.53%
Savings Banks & Savings Banks Founda	5.71%	North America	16.29%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.06%	Unidentified*	8.42%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.42%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

05.02.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.19%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.41%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.53%
Identified Trading**	2.29%		
Unidentified*	8.53%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.01.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.24%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.00%	Unidentified*	8.48%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.48%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

10.01.2019		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.23%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.45%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.49%
Identified Trading**	2.29%		
Unidentified*	8.49%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

Erste Group Bank AG - Shareholder Structure Development 2018

31.12.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.27%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	49.46%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
BlackRock Inc.	4.03%	Unidentified*	8.45%
Employees ⁴	0.78%		
Identified Trading**	2.29%		
Unidentified*	8.45%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

17.12.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.12%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.34%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.60%
Identified Trading**	2.29%		
Unidentified*	8.60%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

13.12.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.28%	Austria	27.52%
Savings Banks & Savings Banks Founda	5.71%	North America	16.15%
Other Syndicated ³	3.08%	UK & Ireland	16.60%
CaixaBank	9.92%	Continental Europe	25.15%
Institutional Investors	53.37%	Rest of world	3.72%
Retail Investors Austria	5.00%	Identified Trading**	2.29%
Employees ⁴	0.78%	Unidentified*	8.57%
Identified Trading**	2.29%		
Unidentified*	8.57%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

26.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.2%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	53.4%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
Employees ⁴	0.8%	Unidentified*	8.6%
Identified Trading**	2.3%		
Unidentified*	8.6%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

23.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.3%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	49.4%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
BlackRock Inc.	4.1%	Unidentified*	8.5%
Employees ⁴	0.8%		
Identified Trading**	2.3%		
Unidentified*	8.5%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

21.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.3%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	53.5%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
Employees ⁴	0.8%	Unidentified*	8.5%
Identified Trading**	2.3%		
Unidentified*	8.5%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

14.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.3%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	49.5%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
BlackRock Inc.	4.0%	Unidentified*	8.5%
Employees ⁴	0.8%		
Identified Trading**	2.3%		
Unidentified*	8.5%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

09.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.2%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	53.4%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
Employees ⁴	0.8%	Unidentified*	8.6%
Identified Trading**	2.3%		
Unidentified*	8.6%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

08.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.2%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	49.4%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
BlackRock Inc.	4.0%	Unidentified*	8.6%
Employees ⁴	0.8%		
Identified Trading**	2.3%		
Unidentified*	8.6%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

06.11.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.2%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	53.4%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
Employees ⁴	0.8%	Unidentified*	8.6%
Identified Trading**	2.3%		
Unidentified*	8.6%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.10.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.5%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	49.5%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
BlackRock Inc.	4.2%	Unidentified*	8.3%
Employees ⁴	0.8%		
Identified Trading**	2.3%		
Unidentified*	8.3%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

30.09.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.3%	Austria	27.4%
Savings Banks & Savings Banks Founda	5.6%	North America	16.5%
Other Syndicated ³	3.1%	UK & Ireland	16.6%
CaixaBank	9.9%	Continental Europe	25.2%
Institutional Investors	45.5%	Rest of world	3.7%
Retail Investors Austria	5.0%	Identified Trading**	2.3%
BlackRock Inc.	4.2%	Unidentified*	8.3%
T. Rowe Price Group Inc.	4.0%		
Employees ⁴	0.8%		
Identified Trading**	2.3%		
Unidentified*	8.3%		

¹Economic interest Erste Foundation, including Erste Employees Private Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

31.08.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation ¹	11.2%	Austria	27.7%
Savings Banks & Savings Banks Founda	5.6%	North America	16.1%
Other Syndicated ³	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	43.7%	Rest of world	3.0%
Retail Investors Austria	5.0%	Identified Trading**	3.2%
BlackRock Inc.	4.2%	Unidentified*	9.3%
T. Rowe Price Group Inc.	4.0%		
Employees ⁴	0.8%		
Identified Trading**	3.2%		
Unidentified*	9.3%		

¹Economic interest Erste Foundation

²Economic interest Savings Banks & Savings Banks Foundations

³Other parties to the shareholder agreement of Erste Foundation. Savings Banks and CaixaBank

⁴Including Erste Employees Private Foundation

*Institutional and Retail Investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

20.06.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.5%
Savings Banks & Savings Banks Founda	5.5%	North America	15.6%
Syndicated	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	47.3%	Rest of world	3.1%
Retail Investors Austria	5.0%	Identified Trading**	3.1%
BlackRock Inc.	4.2%	Unidentified*	10.0%
Employees	0.7%		
Identified Trading**	3.1%		
Unidentified*	10.0%		

* Institutional and private investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

19.06.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.5%
Savings Banks & Savings Banks Founda	5.5%	North America	15.4%
Syndicated	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	51.3%	Rest of world	3.1%
Retail Investors Austria	5.0%	Identified Trading**	3.1%
Employees	0.7%	Unidentified*	10.2%
Identified Trading**	3.1%		
Unidentified*	10.2%		

* Institutional and private investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

05.06.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.5%
Savings Banks & Savings Banks Founda	5.5%	North America	15.5%
Syndicated	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	47.32%	Rest of world	3.1%
Retail Investors Austria	5.0%	Identified Trading**	3.1%
BlackRock Inc.	4.1%	Unidentified*	10.1%
Employees	0.7%		
Identified Trading**	3.1%		
Unidentified*	10.1%		

* Institutional and private investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

23.05.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.5%
Savings Banks & Savings Banks Founda	5.5%	North America	15.4%
Syndicated	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	51.2%	Rest of world	3.0%
Retail Investors Austria	5.0%	Identified Trading**	3.1%
Employees	0.7%	Unidentified*	10.3%
Identified Trading**	3.1%		
Unidentified*	10.3%		

* Institutional and private investors international

**Including Market Makers. Prime Brokerage. Proprietary Trading. Collateral and Stock Lending positions which are visible through custodian banklists

02.05.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.5%
Savings Banks & Savings Banks Founda	5.5%	North America	16.3%
Syndicated	3.1%	UK & Ireland	15.0%
CaixaBank	9.9%	Continental Europe	25.7%
Institutional Investors	47.2%	Rest of world	3.0%
Retail Investors Austria	5.0%	Identified Trading**	3.2%
BlackRock Inc.	4.9%	Unidentified*	9.3%
Employees	0.7%		
Identified Trading**	3.2%		
Unidentified*	9.3%		

* Institutional and private investors international

11.04.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.8%
Savings Banks & Savings Banks Founda	5.5%	North America	17.4%
Syndicated	3.1%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.4%
BlackRock Inc.	4.9%		
Employees	0.7%		
Unidentified*	11.4%		

* Institutional and private investors international

10.04.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.8%
Savings Banks & Savings Banks Founda	5.5%	North America	17.5%
Syndicated	3.1%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.3%
BlackRock Inc.	5.0%		
Employees	0.7%		
Unidentified*	11.3%		

* Institutional and private investors international

15.03.2018		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.8%
Savings Banks & Savings Banks Founda	5.5%	North America	17.6%
Syndicated	3.1%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.2%
BlackRock Inc.	5.1%		
Employees	0.7%		
Unidentified*	11.2%		

* Institutional and private investors international

Erste Group Bank AG - Shareholder Structure Development 2017

31.12.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.2%	Austria	27.8%
Savings Banks	4.8%	North America	17.6%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.2%
BlackRock Inc.	5.1%		
Employees	0.7%		
Unidentified*	11.2%		

* Institutional and private investors

27.11.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	27.6%
Savings Banks	4.7%	North America	17.6%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.4%
BlackRock Inc.	5.1%		
Employees	0.7%		
Unidentified*	11.4%		

* Institutional and private investors

24.11.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	27.6%
Savings Banks	4.7%	North America	17.5%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.5%
BlackRock Inc.	4.99%		
Employees	0.7%		
Unidentified*	11.5%		

* Institutional and private investors international

23.11.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	27.6%
Savings Banks	4.7%	North America	17.5%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.5%
BlackRock Inc.	5.01%		
Employees	0.7%		
Unidentified*	11.5%		

* Institutional and private investors international

22.11.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	27.6%
Savings Banks	4.7%	North America	17.5%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.6%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.5%
BlackRock Inc.	4.98%		
Employees	0.7%		
Unidentified*	11.5%		

* Institutional and private investors international

30.09.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	27.6%
Savings Banks	4.7%	North America	17.6%
Syndicated	3.8%	UK & Ireland	14.3%
CaixaBank	9.9%	Continental Europe	25.5%
Institutional Investors	48.3%	Rest of world	3.5%
Retail Investors Austria	5.0%	Unidentified*	11.5%
BlackRock Inc.	5.0%		
Employees	0.7%		
Unidentified*	11.5%		

* Institutional and private investors international

22.09.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.3%
Savings Banks	4.7%	North America	19.3%
Syndicated	3.8%	UK & Ireland	12.2%
CaixaBank	9.9%	Continental Europe	24.7%
Institutional Investors	47.6%	Rest of world	3.4%
Retail Investors Austria	5.0%	Unidentified*	12.1%
BlackRock Inc.	5.0%		
Employees	0.8%		
Unidentified*	12.1%		

* Institutional and private investors international

16.08.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.3%
Savings Banks	4.7%	North America	19.2%
Syndicated	3.8%	UK & Ireland	12.2%
CaixaBank	9.9%	Continental Europe	24.7%
Institutional Investors	47.6%	Rest of world	3.4%
Retail Investors Austria	5.0%	Unidentified*	12.2%
BlackRock Inc.	4.9%		
Employees	0.8%		
Unidentified*	12.2%		

* Institutional and private investors international

01.06.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.3%
Savings Banks	4.7%	North America	18.3%
Syndicated	3.8%	UK & Ireland	12.2%
CaixaBank	9.9%	Continental Europe	24.7%
Institutional Investors	47.6%	Rest of world	3.4%
Retail Investors Austria	5.0%	Unidentified*	13.1%
BlackRock Inc.	4.0%		
Employees	0.8%		
Unidentified*	13.1%		

* Institutional and private investors international

08.05.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.3%
Savings Banks	4.7%	North America	18.2%
Syndicated	3.8%	UK & Ireland	12.2%
CaixaBank	9.9%	Continental Europe	24.7%
Institutional Investors	51.5%	Rest of world	3.4%
Retail Investors Austria	5.0%	Unidentified*	13.2%
Employees	0.8%		
Unidentified*	13.2%		

* Institutional and private investors international

13.04.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	14.0%
Employees	0.8%		
Unidentified*	14.0%		

* Institutional and private investors international

12.04.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	14.0%
BlackRock Inc.	4.0%		
Employees	0.8%		
Unidentified*	14.0%		

* Institutional and private investors international

11.04.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	14.0%
Employees	0.8%		
Unidentified*	14.0%		

* Institutional and private investors international

24.02.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.8%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.6%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	14.0%
Employees	0.9%		
Unidentified*	14.0%		

* Institutional and private investors international

23.02.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

30.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

27.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.8%
Employees	0.9%		
BlackRock Inc	4.0%		
Unidentified*	13.8%		

* Institutional and private investors international

23.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

17.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

16.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

12.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.8%
Employees	0.9%		
BlackRock Inc	4.0%		
Unidentified*	13.8%		

* Institutional and private investors international

11.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

04.01.2017		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.7%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.8%
Employees	0.9%		
BlackRock Inc	4.1%		
Unidentified*	13.8%		

* Institutional and private investors international

Erste Group Bank AG - Shareholder Structure Development 2016

31.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.5%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.8%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.8%
Employees	0.9%		
Unidentified*	13.8%		

* Institutional and private investors international

30.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.7%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

29.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.7%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
BlackRock Inc	4.0%		
Unidentified*	13.9%		

* Institutional and private investors international

28.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.7%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.8%	Rest of world	3.0%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

27.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	20.0%
Syndicated	3.7%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
BlackRock Inc	4.0%		
Unidentified*	13.9%		

* Institutional and private investors international

23.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.7%	UK & Ireland	10.6%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.8%	Rest of world	2.9%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

20.12.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation	11.1%	Austria	28.4%
Savings Banks	4.7%	North America	19.9%
Syndicated	3.7%	UK & Ireland	10.5%
CaixaBank	9.9%	Continental Europe	24.3%
Institutional Investors	50.8%	Rest of world	3.0%
Retail Investors Austria	5.0%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

22.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
BlackRock Inc.	40.5%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

17.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	19.9%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	50.7%	Rest of world	2.9%
Employees	0.9%	Unidentified*	14.0%
Unidentified*	14.0%		

* Institutional and private investors international

08.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	46.8%	Rest of world	2.9%
BlackRock Inc.	4.1%	Unidentified*	13.9%
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

04.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	42.8%	Rest of world	2.9%
Harbor Int. Fund	4.0%	Unidentified*	13.9%
BlackRock Inc.	4.1%		
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

02.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	19.9%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	46.7%	Rest of world	2.9%
Harbor Int. Fund	4.0%	Unidentified*	14.0%
Employees	0.9%		
Unidentified*	14.0%		

* Institutional and private investors international

01.11.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	42.8%	Rest of world	2.9%
Harbor Int. Fund	4.0%	Unidentified*	13.9%
BlackRock Inc.	4.0%		
Employees	0.9%		
Unidentified*	13.9%		

* Institutional and private investors international

31.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	19.9%
Caixa Bank	9.9%	UK & Ireland	10.5%
Retail Investors	5.0%	Continental Europe	24.3%
Institutional Investors	46.7%	Rest of world	2.9%
Harbor Int. Fund	4.0%	Unidentified*	14.0%
Employees	0.9%		
Unidentified*	14.0%		

* Institutional and private investors international

24.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	14.9%
Employees	0.9%		
Unidentified*	14.9%		

* Institutional and private investors international

18.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.5%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.0%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

14.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.5%
ERSTE Foundation indirect	9.6%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	14.9%
Employees	0.9%		
Unidentified*	14.9%		

* Institutional and private investors international

12.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.1%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

11.10.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	14.9%
Employees	0.9%		
Unidentified*	14.9%		

* Institutional and private investors international

26.09.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.1%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

20.09.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.1%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

19.09.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.0%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.0%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.1%
Employees	0.9%		
Unidentified*	15.1%		

* Institutional and private investors international

15.09.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	14.9%
Employees	0.9%		
Unidentified*	14.9%		

* Institutional and private investors international

30.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.4%
ERSTE Foundation indirect	9.6%	North America	20.1%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

28.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.4%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.1%
Employees	0.9%		
Unidentified*	15.1%		

* Institutional and private investors international

27.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.3%	North America	20.1%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.2%
Employees	0.9%		
Unidentified*	15.2%		

* Institutional and private investors international

23.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.3%	North America	20.3%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	41.3%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
BlackRock Inc.	4.1%		
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

17.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.3%	North America	20.2%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	45.2%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.1%
Employees	0.9%		
Unidentified*	15.1%		

* Institutional and private investors international

14.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.3%	North America	20.5%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	41.3%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	14.9%
BlackRock Inc.	4.2%		
Employees	0.9%		
Unidentified*	14.9%		

* Institutional and private investors international

10.06.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	28.2%
ERSTE Foundation indirect	9.3%	North America	20.3%
Caixa Bank	9.9%	UK & Ireland	9.9%
Retail Investors	5.0%	Continental Europe	24.0%
Institutional Investors	41.3%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.0%
BlackRock Inc.	4.0%		
Employees	0.9%		
Unidentified*	15.0%		

* Institutional and private investors international

30.05.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	18.8%
Caixa Bank	9.9%	UK & Ireland	8.6%
Retail Investors	5.0%	Continental Europe	22.6%
Institutional Investors	41.1%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	15.2%
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
Unidentified*	15.2%		

* Institutional and private investors international

20.05.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.3%
ERSTE Foundation indirect	9.3%	North America	22.6%
Caixa Bank	9.9%	UK & Ireland	8.6%
Retail Investors	5.0%	Continental Europe	22.6%
Institutional Investors	45.0%	Rest of world	2.6%
Harbor Int. Fund	4.6%	Unidentified*	11.3%
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
Unidentified*	11.3%		

* Institutional and private investors international

05.05.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	22.5%
Caixa Bank	9.9%	UK & Ireland	9.0%
Retail Investors	5.0%	Continental Europe	22.9%
Institutional Investors	45.6%	Rest of world	2.7%
Harbor Int. Fund	4.6%	Unidentified*	10.7%
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
Unidentified*	10.7%		

* Institutional and private investors international

04.05.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	22.5%
Caixa Bank	9.9%	UK & Ireland	9.1%
Retail Investors	5.0%	Continental Europe	22.9%
Institutional Investors	41.6%	Rest of world	2.7%
Harbor Int. Fund	4.6%	Unidentified*	10.6%
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		
Unidentified*	10.6%		

* Institutional and private investors international

03.05.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	22.5%
Caixa Bank	9.9%	UK & Ireland	9.0%
Retail Investors	5.0%	Continental Europe	22.9%
Institutional Investors	45.6%	Rest of world	2.7%
Harbor Int. Fund	4.6%	Unidentified*	10.7%
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
Unidentified*	10.7%		

* Institutional and private investors international

29.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	22.5%
Caixa Bank	9.9%	UK & Ireland	9.1%
Retail Investors	5.0%	Continental Europe	22.9%
Institutional Investors	41.6%	Rest of world	2.7%
Harbor Int. Fund	4.7%	Unidentified*	10.6%
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		
Unidentified*	10.6%		

* Institutional and private investors international

28.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	32.2%
ERSTE Foundation indirect	9.3%	North America	22.5%
Caixa Bank	9.9%	UK & Ireland	9.0%
Retail Investors	5.0%	Continental Europe	22.9%
Institutional Investors	45.6%	Rest of world	2.7%
Harbor Int. Fund	4.6%	Unidentified*	10.7%
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
Unidentified*	10.7%		

* Institutional and private investors international

08.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	51.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

08.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	51.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

06.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	55.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

05.04.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.3%
ERSTE Foundation indirect	9.3%	North America	24.8%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	51.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

31.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	55.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

30.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.99%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

18.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	55.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

17.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.3%
ERSTE Foundation indirect	9.3%	North America	24.8%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	51.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

11.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	55.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

09.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.3%
ERSTE Foundation indirect	9.3%	North America	24.8%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.1%		
Employees	0.9%		

08.03.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.3%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	55.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

23.02.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.3%
ERSTE Foundation indirect	9.3%	North America	24.8%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	51.0%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

26.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

25.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	54.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

21.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

18.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	54.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

14.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		

07.01.2016		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	54.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
ERSTE Stiftung indirekt	9.4%	Nordamerika	24.7%
Caixa Bank	9.9%	UK & Irland	9.7%
Private Anleger	6.0%	Kontinentaleuropa	25.3%
Institutionelle Investoren	50.9%	Sonstige	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Mitarbeiter	0.9%		

07.01.2016		Anzahl der Aktien: 429.800.000	
Nach Investoren		Nach Regionen	
ERSTE Stiftung direkt	9.9%	Österreich	37.4%
ERSTE Stiftung indirekt	9.4%	Nordamerika	24.7%
Caixa Bank	9.9%	UK & Irland	9.7%
Private Anleger	6.0%	Kontinentaleuropa	25.3%
Institutionelle Investoren	54.9%	Sonstige	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Mitarbeiter	0.9%		

Erste Group Bank AG - Shareholder Structure Development 2015

31.12.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		
18.12.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.4%
ERSTE Foundation indirect	9.4%	North America	24.7%
Caixa Bank	9.9%	UK & Ireland	9.7%
Retail Investors	6.0%	Continental Europe	25.3%
Institutional Investors	50.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
BlackRock Inc.	4.0%		
Employees	0.9%		
17.11.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	9.9%	Austria	37.9%
ERSTE Foundation indirect	9.4%	North America	23.7%
Caixa Bank	9.9%	UK & Ireland	9.8%
Retail Investors	6.0%	Continental Europe	25.6%
Institutional Investors	54.9%	Other	2.9%
Harbor Int. Fund	4.9%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
14.10.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	10.3%	Austria	38.2%
ERSTE Foundation indirect	9.4%	North America	25.6%
Caixa Bank	9.9%	UK & Ireland	8.2%
Retail Investors	6.0%	Continental Europe	25.4%
Institutional Investors	55.0%	Other	2.6%
Harbor Int. Fund	4.4%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		
24.07.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	10.7%	Austria	38.7%
ERSTE Foundation indirect	9.4%	North America	25.6%
Caixa Bank	9.9%	UK & Ireland	8.2%
Retail Investors	6.0%	Continental Europe	25.0%
Institutional Investors	54.6%	Other	2.6%
Harbor Int. Fund	4.4%		
UNIQA Versicherungsverein	4.1%		
Employees	0.9%		

30.06.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	10.7%	Austria	38.7%
ERSTE Foundation indirect	9.4%	North America	25.6%
Caixa Bank	9.9%	UK & Ireland	8.2%
Retail Investors	6.0%	Continental Europe	25.0%
Institutional Investors	50.6%	Other	2.6%
Harbor Int. Fund	4.4%		
UNIQA Versicherungsverein	4.1%		
Lone Pine Capital	4.0%		
Employees	0.9%		

31.03.2015		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	10.8%	Austria	40.8%
ERSTE Foundation indirect	9.3%	North America	25.6%
Caixa Bank	9.9%	UK & Ireland	7.8%
Retail Investors	9.6%	Continental Europe	23.3%
Institutional Investors	47.2%	Other	2.5%
Harbor Int. Fund	4.0%		
UNIQA Versicherungsverein	4.1%		
Lone Pine Capital	4.1%		
Employees	1.0%		

Erste Group Bank AG - Shareholder Structure Development 2014

31.12.2014		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	10.8%	Austria	40.8%
ERSTE Foundation indirect	9.3%	North America	25.6%
Caixa Bank	9.9%	UK & Ireland	7.8%
Retail Investors	9.6%	Continental Europe	23.3%
Institutional Investors	47.2%	Other	2.5%
Harbor Int. Fund	4.0%		
UNIQA Versicherungsverein	4.1%		
Lone Pine Capital	4.1%		
Employees	1.0%		
30.09.2014		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	12.7%	Austria	41.6%
ERSTE Foundation indirect	7.8%	North America	25.5%
Employees	1.0%	UK & Ireland	7.8%
Retail Investors	9.7%	Continental Europe	22.6%
Institutional Investors	51.6%	Other	2.5%
Harbor Int. Fund	4.0%		
UNIQA Versicherungsverein	4.1%		
Caixa Bank	9.1%		
30.06.2014		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	13.1%	Austria	42.7%
ERSTE Foundation indirect	7.1%	North America	19.6%
Employees	1.1%	UK & Ireland	9.5%
Retail Investors	9.0%	Continental Europe	25.8%
Institutional Investors	52.5%	Other	2.4%
Harbor Int. Fund	4.0%		
UNIQA Versicherungsverein	4.1%		
Caixa Bank	9.1%		
14.03.2014		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	13.1%	Austria	43.0%
ERSTE Foundation indirect	7.1%	North America	20.7%
Employees	1.5%	UK & Ireland	7.5%
Retail Investors	8.0%	Continental Europe	26.0%
Institutional Investors	53.0%	Other	2.9%
Harbor Int. Fund	4.0%		
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		
28.02.2014		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	13.1%	Austria	43.4%
ERSTE Foundation indirect	7.2%	North America	19.9%
Employees	1.5%	UK & Ireland	7.6%
Retail Investors	8.1%	Continental Europe	26.2%
Institutional Investors	56.9%	Other	2.9%
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		

Erste Group Bank AG - Shareholder Structure Development 2013

31.12.2013		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	13.1%	Austria	43.6%
ERSTE Foundation indirect	7.5%	North America	19.8%
Employees	1.5%	UK & Ireland	7.6%
Retail Investors	8.1%	Continental Europe	26.1%
Institutional Investors	56.6%	Other	2.9%
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		
06.12.2013		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	13.1%	Austria	40.1%
ERSTE Foundation indirect	8.2%	North America	20.7%
Employees	1.5%	UK & Ireland	8.8%
Retail Investors	6.7%	Continental Europe	27.7%
Institutional Investors	57.3%	Other	2.7%
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		
30.10.2013		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	15.1%	Austria	42.4%
ERSTE Foundation indirect	8.5%	North America	19.1%
Employees	1.5%	UK & Ireland	8.7%
Retail Investors	6.7%	Continental Europe	27.1%
Institutional Investors	55.0%	Other	2.7%
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		
26.07.2013		Total number of shares: 429.800.000	
By investor		By region	
ERSTE Foundation direct	15.1%	Austria	42.4%
ERSTE Foundation indirect	8.5%	North America	19.0%
Employees	2.3%	UK & Ireland	8.7%
Retail Investors	6.7%	Continental Europe	27.1%
Institutional Investors	54.3%	Other	2.7%
Austria Versicherungsverein	4.1%		
Caixa Bank	9.1%		
29.04.2013		Total number of shares: 394.568.647	
By investor		By region	
ERSTE Foundation	20.1%	Austria	45.6%
Employees	3.0%	North America	18.4%
Savings banks	4.2%	UK & Ireland	5.7%
Retail investors	5.8%	Continental Europe	27.4%
Institutional Investors	52.5%	Other	2.9%
Austria Versicherungsverein	4.4%		
Caixa Bank	9.9%		

10.01.2013

Total number of shares: 394.568.647

By investor

ERSTE Foundation	20.1%
Employees	3.0%
Savings banks	4.4%
Retail Investors	5.8%
Institutional Investors	52.4%
Austria Versicherungsverein	4.4%
Caixa Bank	9.9%

By region

Austria	45.5%
North America	18.4%
UK & Ireland	5.7%
Continental Europa	27.5%
Other	2.9%

Erste Group Bank AG - Shareholder Structure Development 2012

31.12.2012		Total number of shares: 394.568.647	
By investor		By region	
ERSTE Foundation	20.1%	Austria	45.5%
Employees	3.0%	North America	18.4%
Savings banks	4.4%	UK & Ireland	5.7%
Retail Investors	5.8%	Continental Europe	27.5%
Institutional Investors	56.7%	Other	2.9%
Caixa Bank	9.9%		

08.10.2012		Total number of shares: 394.568.647	
By investor		By region	
ERSTE Foundation	20.1%	Austria	45.5%
Employees	3.0%	North America	18.4%
Savings banks	4.4%	UK & Ireland	5.7%
Retail Investors	5.8%	Continental Europe	27.5%
Institutional Investors	56.7%	Other	2.9%
Caixa Bank	9.9%		

26.07.2012		Total number of shares: 394.568.647	
By investor		By region	
ERSTE Foundation	24.2%	Austria	47.1%
Employees	2.1%	North America	19.7%
Savings banks	4.4%	UK & Ireland	5.6%
Retail Investors	5.2%	Continental Europe	24.8%
Institutional Investors	54.4%	Other	2.9%
Caixa Bank	9.7%		

28.02.2012		Total number of shares: 394.568.647	
By investor		By region	
ERSTE Foundation	24.8%	Austria	47.3%
Employees	2.1%	North America	19.7%
Savings banks	4.1%	UK & Ireland	5.6%
Retail Investors	5.2%	Continental Europe	24.7%
Institutional Investors	54.2%	Other	2.7%
Caixa Bank	9.7%		

Erste Group Bank AG - Shareholder Structure Development 2011

31.12.2011		Total number of shares: 390.767.262	
By investor		By region	
ERSTE Foundation	25.0%	Austria	47.4%
Employees	2.1%	North America	20.0%
Savings banks	4.5%	UK & Ireland	5.7%
Retail Investors	5.2%	Continental Europe	24.2%
Institutional Investors	53.4%	Other	2.7%
Caixa Bank	9.8%		
12.12.2011		Total number of shares: 386.741.696	
Nach Investoren		Nach Regionen	
ERSTE Foundation	25.1%	Austria	46.7%
Employees	2.1%	North America	19.8%
Savings banks	4.4%	UK & Ireland	5.7%
Retail Investors	5.1%	Continental Europe	25.1%
Institutional Investors	53.5%	Other	2.7%
Caixa Bank	9.9%		
30.11.2011		Total number of shares: 382.716.130	
By investor		By region	
ERSTE Foundation	25.35%	Austria	47.70%
Employees	2.13%	North America	20.27%
Savings banks	4.41%	UK & Ireland	5.81%
Retail Investors	5.26%	Continenta Europe	23.46%
Institutional Investors	52.87%	Other	2.76%
Caixa Bank	9.98%		
11.11.2011		Total number of shares: 378.466.384	
By investor		By region	
ERSTE Foundation	25.6%	Austria	48.7%
Employees	2.2%	North America	20.8%
Savings banks	4.2%	UK & Ireland	6.0%
Retail Investors	5.5%	Continental Europe	21.7%
Institutional investors	52.4%	Other	2.8%
Caixa Bank	10.1%		
04.07.2011		Total number of shares: 378.466.384	
By investor		By region	
ERSTE Foundation	25.3%	Austria	47.5%
Employees	2.0%	North America	20.9%
Savings banks	4.2%	UK & Ireland	8.0%
Retail Investors	6.6%	Continental Europe	20.5%
Institutional Investors	51.8%	Other	3.1%
Caixa Bank	10.1%		
14.06.2011		Total number of shares: 378.466.384	
By investor		By region	
ERSTE Foundation	25.3%	Austria	47.5%
Employees	2.0%	North America	20.9%
Savings banks	4.2%	UK & Ireland	8.0%
Retail Investors	6.6%	Continental Europe	20.5%
Institutional Investors	51.8%	Other	3.1%
Criteria CaixaCorp	10.1%		

17.05.2011		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	25.3%	Austria	47.5%
Employees	2.0%	North America	21.0%
Savings banks	4.2%	UK & Ireland	8.0%
Retail Investors	6.6%	Continental Europe	20.4%
Institutional Investors	51.8%	Other	3.1%
Criteria CaixaCorp	10.1%		

15.02.2011		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	25.3%	Austria	47.6%
Employees	2.3%	North America	20.7%
Savings banks	4.2%	UK & Ireland	9.0%
Retail Investors	6.6%	Continental Europe	20.5%
Institutional Investors	51.3%	Other	2.3%
Criteria CaixaCorp	10.1%		

Erste Group Bank AG - Shareholder Structure Development 2010

31.12.2010		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	25..%	Austria	47.7%
Employee	2.3%	North America	20.6%
Savings banks	4.6%	UK & Ireland	9.0%
Retail Investors	6.6%	Continental Europe	20.4%
Institutional Investors	46.0%	Other	2.3%
Criteria CaixaCorp	10.1%		
Capital Research	5.1%		
20.10.2010		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	25.3%	Austria	47.5%
Employees	3.5%	North America	19.6%
Savings banks	5.1%	UK & Ireland	9.1%
Retail Investors	6.3%	Continental Europe	21.5%
Institutional Investors	44.7%	Other	2.3%
Criteria CaixaCorp	10.1%		
Capital Research	5.1%		
13.07.2010		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	26.1%	Austria	49.0%
Employees	3.5%	North America	18.9%
Savings banks	5.1%	UK & Ireland	10.5%
Retail Investors	6.3%	Continental Europe	19.6%
Institutional Investors	43.9%	Other	2.1%
Criteria CaixaCorp	10.1%		
Capital Research	5.1%		
18.06.2010		Total number of shares: 378.176.721	
By investor		By region	
ERSTE Foundation	26.1%	Austria	50.2%
Employees	3.5%	North America	17.6%
Savings banks	5.9%	UK & Ireland	11.4%
Retail Investors	6.6%	Continental Europe	20.6%
Institutional Investors	42.7%	Other	0.2%
Criteria CaixaCorp	10.1%		
Capital Research	5.1%		
23.03.2010		Total number of shares: 377.925.086	
By investor		By region	
ERSTE Foundation	26.1%	Austria	49.6%
Employees	3.2%	North America	16.9%
Savings banks	6.3%	UK & Ireland	12.0%
Retail Investors	6.3%	Continental Europe	20.7%
Institutional Investors	42.9%	Other	0.9%
Criteria CaixaCorp	10.1%		
Capital Research	5.1%		

Erste Group Bank AG - Shareholder Structure Development 2009

31.12.2009		Total number of shares: 377.925.086	
By investor		By region	
ERSTE Foundation	26.1%	Austria	50.1%
Employees	3.3%	North America	16.3%
Savings banks	6.8%	UK & Ireland	12.1%
Retail Investors	6.6%	Continental Europe	20.6%
Institutional Investors	47.1%	Other	0.9%
Criteria CaixaCorp	10.1%		
24.11.2009		Total number of shares: 377.925.086	
By investor		By region	
ERSTE Foundation	26.1%	Austria	50.1%
Employees	3.3%	North America	16.3%
Savings banks	6.8%	UK & Ireland	12.1%
Retail Investors	6.6%	Continental Europe	20.6%
Institutional Investors	47.1%	Other	0.9%
Criteria CaixaCorp	10.1%		
22.06.2009		Total number of shares: 317.925.086	
By investor		By region	
ERSTE Foundation	31.0%	Austria	56.7%
Employees	3.9%	North America	14.3%
Savings banks	9.3%	UK & Ireland	11.9%
Retail Investors	6.6%	Continental Europe	14.6%
Institutional Investors	39.1%	Other	2.5%
Austria Verein	5.0%		
Criteria CaixaCorp	5.1%		

Erste Group Bank AG - Shareholder Structure Development 1997 - 2008

31.12.2008		Total number of shares: 317.012.763	
By investor		By region	
ERSTE Foundation	31.1%	Austria	55.1%
Employees	3.5%	North America	15.3%
Savings banks	9.0%	UK & Ireland	13.5%
Retail Investors	6.0%	Continental Europe	13.7%
Institutional Investors	45.4%	Other	2.4%
Austria Verein	5.0%		
31.12.2007		Total number of shares: 316.288.945	
By investors		By region	
ERSTE Foundation	31.0%	Austria	53.8%
Employees	3.0%	North America	19.1%
Savings banks	6.9%	UK & Ireland	12.7%
Retail Investors	7.1%	Continental Europe	11.7%
Institutional Investors	47.1%	Other	2.7%
Austria Verein	4.9%		
31.12.2006		Total number of shares: 315.296.185	
By investor		By region	
ERSTE Foundation	30.5%	Austria	53.1%
Employees	3.0%	North America	16.0%
Savings banks	6.9%	UK & Ireland	14.4%
Retail Investors	7.8%	Continental Europe	14.0%
Institutional Investors	41.9%	Other	2.5%
Capital Research	5.0%		
Austria Verein	4.9%		
31.12.2005		Total number of shares: 243.183.600	
By investor		By region	
ERSTE Foundation	32.2%	Austria	57.9%
Employees	1.8%	North America	17.6%
Savings banks	7.1%	UK & Ireland	17.2%
Retail Investors	7.0%	Continental Europe	6.4%
Institutional Investors	45.9%	Other	0.9%
Austria Verein	6.0%		
31.12.2004		Total number of shares: 241.442.892	
By investor		By region	
ERSTE Foundation	32.5%	Austria	58.2%
Employees	1.9%	North America	17.7%
Savings banks	7.0%	UK & Ireland	17.4%
Retail Investors	7.0%	Continental Europe	5.8%
Institutional Investors	45.6%	Other	0.9%
Austria Verein	6.0%		
08.07.2004 (post stock split 4:1)		Total number of shares: 241.442.892	
By investor		By region	
ERSTE Foundation	33.5%	Austria	60.6%
Employees	2.5%	North America	16.2%
Savings banks	7.0%	UK & Ireland	15.4%
Retail investors	8.3%	Continental Europe	7.1%
Institutional Investors	37.7%	Other	0.7%
Capital Research	5.0%		
Austria Verein	6.0%		

31.12.2003		Total number of shares: 59.943.808	
By investor		By region	
ERSTE Foundation*	34.0%	Austria	34.7%
Employees	1.7%	North America	24.9%
Savings banks	7.0%	UK & Ireland	28.2%
Retail Investors	8.3%	Continental Europe	11.0%
Institutional Investors	42.9%	Other	1.2%
Austria Verein	6.1%		

31.12.2002		Total number of shares: 59.825.114	
By investor		By region	
Anteilsverwaltung Sparkasse (AVS)	35.6%	Austria	63.7%
Employees	1.4%	North America	12.1%
Savings banks	7.7%	UK & Ireland	11.6%
Retail investors	8.0%	Continental Europe	12.6%
Institutional investors	47.3%	Other	0.1%

31.12.2001		Total number of shares: 50.362.955	
By investor			

Anteilsverwaltung Sparkasse (AVS)	40.8%
Employees	1.0%
Savings banks	9.2%
Retail investors	9.4%
Institutional investors	20.6%
Strategic investors	19.0%
Uniqua	6.6%
Free Float	59.2%

31.12.2000		Total number of shares: 50.360.799	
By investor			

Anteilsverwaltung Sparkasse (AVS)	40.8%
Shareholders <5%	52.6%
Uniqua	6.6%
Free Float	59.2%

31.12.1999		Total number of shares: 44.360.799	
By investor			

Anteilsverwaltung Sparkasse (AVS)	43.4%
Strategic partners	16.6%
Banks	5.7%
Insurers	10.9%
Savings banks	9.1%
Free Float	30.9%

31.12.1998		Total number of shares: 44.360.799	
By investor			

Anteilsverwaltung Sparkasse (AVS)	43.4%
Strategic partners	16.5%
Banks	5.8%
Insurers	10.7%
Savings banks	9.1%
Free Float	31.1%

31.12.1997

Total number of shares: 44.360.799

By investor

Anteilsverwaltung Sparkasse (AVS)	43.4%
Strategic partners	16.3%
Banks	5.7%
Insurers	10.6%
Savings banks	9.4%
Free Float	30.9%