

## Information on data protection in connection with the Annual General Meeting of Erste Group Bank AG

If you attend the Annual General Meeting of Erste Group Bank AG (either as a shareholder, as an authorized representative or as a guest) your personal data will be processed. Below we provide you with the essential information about it.

**1. Who is responsible for data processing?**

Erste Group Bank AG, Am Belvedere 1, A- 1100 Vienna, <https://www.erstegroup.com/en/impressum>.

**2. Who is the Data Protection Officer?**

Dr. Gregor König, c/o Erste Group Bank AG, Am Belvedere 1, A- 1100 Vienna;  
[datenschutz@erstegroup.com](mailto:datenschutz@erstegroup.com).

**3. For what purposes should the personal data be processed and what is the legal basis for this?**

- **General:**  
Your personal data will be processed in order to process the Annual General Meeting of Erste Group Bank AG (preparation, execution and follow-up). The associated data processing is mandatory according to the Stock Corporation Act (Art. 6 (1) lit. c DSGVO). In addition, there may be a legitimate interest in the processing of data for law enforcement or anti-fraud purposes.
- **Video transmission and recording:**  
The Annual General Meeting will be publicly broadcasted in the internet in real time (video with audio track) according to sec. 102 (4) of the Stock Corporation Act:  
<https://www.erstegroup.com/en/investors/events/agm/agm2019> and <https://www.erstegroup.com/de/investoren/events/hauptversammlungen/hv2019>.  
It is pointed out that only those shareholders or authorized representatives will be visible during the transmission, who take the floor and come to the lectern.

The recording of the live broadcast will be publicly available until Monday, 20 May 2019 via the links provided. This serves the legitimate interest of Erste Group Bank AG in making the results and occurrences of the Annual General Meeting also accessible to those who were prevented from participating (or during the live video transmission) and at the same time giving them the opportunity to watch the several hours of recording on the following weekend (thus during the non-working time).

**4. What data is processed for the purposes just mentioned?**

For the purpose of processing the Annual General Meeting, the shareholders' and authorized representatives' master data, data on representation and securities investment data are required, ie name (company), place of residence (seat), date of birth (register and registration number of legal entities), amount or number of shares represented, custody account number, voting card number, participation status.

**5. Who are the recipients of the personal data?**

- Processors and service providers who handle the Annual General Meeting, e.g. HV Veranstaltungsservice GmbH (St. Lorenzen am Wechsel), the Notariat appointed by Erste Group Bank AG and - with regard to video transmission and recording - A1 Telekom Austria AG (Vienna).
- Public authorities, in particular the Commercial Register (Handelsgericht Wien) or, if applicable, eg the Financial Market Authority, the Vienna Stock Exchange or the Österreichische Kontrollbank.
- The public regarding video transmission and recording.

6. **How long is the personal data stored, or according to which criteria is the duration of storage determined?**
- The personal data of the shareholders and authorized representatives are stored as long as the shareholding exists. In addition, as long as statutory storage requirements exist.
  - For video recording, see point 3.
7. **What are the rights of the persons concerned? Is there a right of complaint?**
- There are rights to information, correction, deletion, limitation of processing, contradiction and data transfer.
  - The data subject also has the right to lodge a complaint with the Data Protection Authority, Barichgasse 40-42, 1030 Vienna, dsb@dsb.gv.at.
8. **Is the provision of personal data required by law, is there a duty to provide and what would be the consequences of non-provisioning?**
- The provision of personal data is mandatory by law (Aktiengesetz). Without provision, participation in the Annual General Meeting is not possible.
9. **Is there automated decision-making including profiling?**
- No, such data processing does not take place.
10. **From which source do personal data come from and which data categories are involved?**
- Unless you have registered for the Annual General Meeting, we have received your personal information either from a representative authorized by you, or from your custodian bank. In these cases, this is your master data (especially name, address and date of birth) as well as details of your investment in securities (especially custody account number and number of shares).

Further information on data processing can be found at <https://www.erstegroup.com/de/datenschutz>