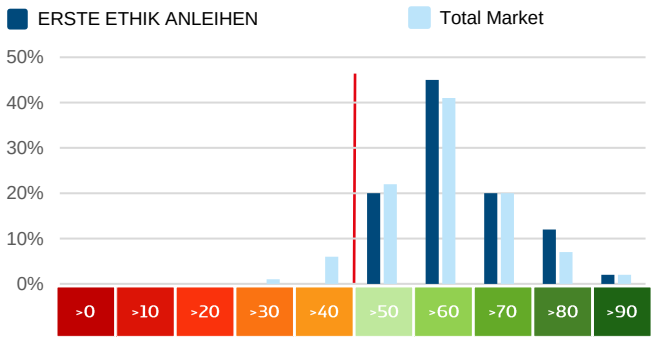
Sustainable Footprint

ERSTE ETHIK ANLEIHEN

Q3/2021

EAM-ESG-Rating



ERSTE ETHIK ANLEIHEN 81

Total Market 69

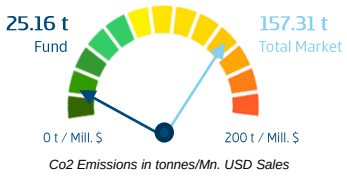
The graph represents the distribution of companies according to the EAM ESG rating for the fund and the Total Market. The EAM ESG ratings are weighted averages of the ESGenius Scores of the companies included.

ESG Performance Factors

Emission of greenhouse gases (E)

To what extent are greenhouse gases emitted directly (Scope 1) and indirectly from purchased energy (Scope 2) in relation to sales?

The CO2 intensity is an indicator of operational efficiency. Companies with lower emissions also have a lower risk when the regulatory environment is tightening.



Compliance with labour standards (S)

Is it possible for employees to organize in a union? Have collective agreements been put into force?

High employee standards reduce the operational risk in the production process and increase the productivity of the company.

Independence of the Board (G)

What is the proportion of independent Supervisory Board members with regard to personal/business relationships with the Management Board or the company?

By avoiding conflicts of interest, there can be better control in the interest of shareholders. This reduces the risk of corruption cases.

Top 5 Holdings by weight

Company	GICS-Sector	Country	CO2-Intensity	Collective agreement	Independence of the Board	EAM-ESG-Rating
International Bank for Reconstruction and Development	Financials	US	6.5	-	-	88
Series D Euro-DM Securities Ltd.	Financials	JE	-	-	-	92
Münchener Rückversicherungs-Gesellschaft AG in München	Financials	GER	1.2	yes	90%	74
Swiss Re Finance [Luxembourg] S.A.	Financials	CH	0.3	yes	100%	80
Hannover Rück SE	Financials	GER	0.7	yes	88,89%	69

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What is the Sustainable Footprint?

For the sustainable footprint, three environmental, social and governance criteria have been identified that provide information on traditional measures and are easily measurable across all sectors at the portfolio level:

- CO2 intensity (tonnes of CO2/annual sales in Mln. $) of the companies taking into account the portfolio weighting
- Share of independent supervisory board members at the company level, taking into account the portfolio weighting
- Share of companies with collective agreements, taking into account the portfolio weighting

How is the EAM-ESG rating calculated?
The ratings of the fund and of the Total Market are calculated as the weighted average of the ESGenius Scores of the respective companies, i.e. every company in the fund or in the Total Market has a sustainability rating based on our analysis and that of external research partners. Aggregated over portfolio holdings and considering the weightings, an overall rating is obtained.

Risk notes according to 2011 Austrian Investment Fund Act
In accordance with the fund provisions approved by the Austrian Financial Market Authority (FMA), ERSTE ETHIK ANLEIHEN intends to invest more than 35% of its assets in securities and/or money market instruments of public issuers. A detailed list of these issuers can be found in the prospectus, para. II, point 12.

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